

SA Form – Corporate

**7. How long is your organisation's expected investment horizon?**

- A) Less than 1 year
- B) 1 year to 5 years
- C) 6 years to 10 years
- D) 11 years to 20 years
- E) More than 20 years

**8. What is your organisation's expected return?**

- A) 0 - 4%
- B) More than 4% - 6%
- C) More than 6% - 8%
- D) More than 8% - 12%
- E) More than 12%

**9. Which statement can best describe your general attitude towards financial investment?**

- A) I cannot put up with any price fluctuation and have no interest on earnings.
- B) I can only put up with little price fluctuation and wish to have earnings slightly higher than bank deposit rates.
- C) I can put up with some price fluctuation and wish to have earnings much better than bank deposit rates.
- D) I can put up with high degree of price fluctuation and wish to have earnings comparable to stock market indexes.
- E) I can put up with any price fluctuation and wish to have earnings remarkably higher than stock market indexes.

**How to Score the Risk Profile Questionnaire**

Question Number	A	B	C	D	E	F	G	Your points
Question 1	1	2	3	5	7			
Question 2	1	2	3	5	7			
Question 3	7	5	3	2	1			
Question 4*	9	7	5	3	1	1	0	
Question 5*	0	2	3	4	5			
Question 6	1	3	4	5				
Question 7	1	2	3	5	5			
Question 8	1	2	3	4	6			
Question 9^	0	1	3	4	5			
Please total your points from the 9 questions and write your total here:								

\* As the question allows multiple answers, only the answer carrying the highest score is used in the calculation.

^ If your answer to question 9 is "A", the score of both questions 4 and 5 is zero, which means your total risk score does not count your investment experience and holdings of investment products.

**C. RISK ASSESSMENT RESULT (TO BE COMPLETED BY UNIT TRUST ADVISER):**
**(i) Risk Profile** *(Please select one in accordance to Risk Score)*

Risk Score	General Risk Profile Description	Recommended Asset Allocation	Your Score	Maximum Risk Score
<b>6 - 22</b>	<b>Conservative</b> Your organisation can accept investments with low risk returns.	Equity: 10% - 30% Bond/ Money Market: 70% - 90%		2.90
<b>23 - 39</b>	<b>Moderate</b> Your organisation can accept investments with medium risk exposure and price fluctuation for capital growth potential.	Equity: 40% - 60% Bond/ Money Market: 40% - 60%		3.80
<b>40 - 56</b>	<b>High Risk</b> Your organisation can accept investments with high risk exposure and price fluctuation for substantial capital growth.	Equity: 70% - 90% Bond/ Money Market: 10% - 30%		4.70

**(ii) Decision (Please select one)**
With Servicing Adviser

- ☐ **Within Risk Profile** - Portfolio of products recommended by Unit Trust / PRS Adviser is **in accordance** with the investor's risk profile.
- ☐ **Exceeds Risk Profile** - Notwithstanding the result of this assessment, the investor confirms and decides to purchase the portfolio of products based on the investor's judgement / preference which the portfolio's risk profile may be **exceeding** the investor's risk profile.
- ☐ **Self-Execution - Adviser only provides administrative service** to investor who has investment knowledge. The investor confirms and decides to purchase the portfolio of products based on the investor's judgement / preference which the portfolio's risk profile may be **exceeding** the investor's risk profile.

Without Servicing Adviser

- ☐ **Self-Execution - Investor decided to select own Funds to invest**  
Notwithstanding the result of this assessment, the investor confirms and decides to purchase the portfolio of products based on the investor's judgement / preference which the portfolio's risk profile may be **exceeding** the investor's risk profile.

**D. ACKNOWLEDGEMENT & DECLARATION:**

No.	Remarks	Yes (✓) / No (X)
1	I declare that all information disclosed is true, complete and accurate.	
2	I acknowledge receipt of a copy of the prospectus / product highlight sheet (PHS) / Disclosure Document (if any) and the relevant disclosure document which have been given to me.	
3	(a) <b>With Servicing Adviser</b> - The Unit Trust / PRS adviser has explained and I have understood the features and risks of the fund(s). I understand and shall bear full responsibility for my investment decisions.	
	(b) <b>Without Servicing Adviser</b> - I have understood the features and risks of the fund(s). I understand and shall bear full responsibility for my investment decisions.	
4	I decline to provide certain information required for product suitability assessment and understand that this may adversely affect my suitability assessment.	

<div style="text-align: center; margin-bottom: 10px;"> <div style="border-bottom: 1px solid black; width: 100%;"></div>             Investor's Signature </div> <div>Name: _____</div> <div>Date Undertaken: _____</div>	<div style="text-align: center; margin-bottom: 10px;"> <div style="border-bottom: 1px solid black; width: 100%;"></div>             Unit Trust / PRS Adviser's Signature </div> <div>Adviser Name: _____</div> <div>Adviser Code: _____</div> <div>Date Undertaken: _____</div>
<b>Remarks:</b> _____	

**WARNING**

THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGMENT IN MAKING AN INFORMED DECISION IN RELATION TO THE UNIT TRUST / PRS FUNDS.

THE ASSESSMENT RESULTS ONLY SERVE AS A REFERENCE FOR YOUR CONSIDERATION AND SHOULD NOT BE CONSTRUED AS AND SHALL NOT FORM PART OF AN OFFER OR SOLICITATION TO BUY OR SELL ANY UNIT TRUST / PRS FUNDS.