

## SOPHISTICATED INVESTOR DECLARATION FORM

I / We\* \_\_\_\_\_ hereby declare and confirm that I / we\* am / are\* qualified to invest in the Fund as stated above.

I / We\* confirm that I / we\* understand that I / we\* am / are\* investing in a collective investment scheme, as defined in the Capital Markets and Services Act 2007 [Capital Markets and Services (Non-Application of Division 5 of Part vi) (Wholesale Fund) Order 2012] and as may be amended from time to time.

I / We\* acknowledge that Manulife Investment Management (M) Berhad accepts the investment into the Fund on the basis of this Declaration.

I / We\* hereby agree that I / we\* have read and understood the Information Memorandum and its Supplemental (if any) before investing in the Fund.

I / We\* further confirm that this Declaration is accurate as to the matter stated herein and am / are\* prepared to furnish any documentary evidence to establish the accuracy of this Declaration.

I / we\* agree to indemnify and keep Manulife Investment Management (M) Berhad indemnified in respect of any costs, expenses, fines, penalties, or any other losses, which it may suffer or incur in the event this Declaration is untrue or incorrect in any way.

*\* Please delete where appropriate.*

\_\_\_\_\_  
Signature of First Applicant /  
Authorized Signatory

Name:

NRIC:

Date:

\_\_\_\_\_  
Signature of Joint Applicant  
Authorized Signatory

Name

NRIC:

Date:

\_\_\_\_\_  
Company Stamp

**Notes to Read Before Completing This Declaration Form**

You are advised to read and understand the Information Memorandum and Its Supplemental (if any) relating to the Fund before investing in the Fund.

Only a "sophisticated investor" may invest in a Wholesale Fund. A "Wholesale Fund" means a unit trust fund, the units of which are issued, offered for subscription or purchase, or for which invitations to subscribe for or purchase the units have been made, exclusively to sophisticated investors and any other person as may be determined by the SC.

"Sophisticated investor(s)" refers to:

**High-net worth individuals**

- An individual whose total net personal assets, or total net joint assets with his or her spouse, exceeding three million ringgit or its equivalent in foreign currencies, excluding the value of the individual's primary residence.
- An individual who has a gross annual income exceeding three hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months;
- An individual who jointly with his or her spouse, has a gross annual income exceeding four hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months; or
- An individual whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse, in any capital market products exceeding one million ringgit or its equivalent in foreign currencies.
- An individual who acquires the unlisted capital market product where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise.

**High-net worth entities**

- A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies.

**Corporation**

- A corporation that is a public company under the Companies Act 2016 which is approved by the Commission to be a trustee under the Act and has assets under its management, exceeding ten million ringgit or its equivalent in foreign currencies; or
- A corporation that is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies.
- A corporation with total net assets exceeding ten million ringgit or its equivalent in foreign currencies based on the last audited accounts.
- A partnership with total net assets exceeding ten million ringgit or its equivalent in foreign currencies.
- A statutory body established under any laws unless otherwise determined by the Commission. P.U. (A) 52
- A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967.

**Accredited investors**

- A unit trust scheme, private retirement scheme or prescribed investment scheme.
- Bank Negara.
- A licensed person or a registered person.
- An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator.
- A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the Commission.
- A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act 704].
- An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705].
- A chief executive officer or a director of any person referred to in paragraphs 3, 4, 5, 6 and 7.P.U. (A) 51
- A closed-end fund approved by the Commission.