# Entity Tax Residency Self Certification Form (FATCA and CRS)

# Please read these instructions before completing the form.

Regulations based on Foreign Account Tax Compliance Act ("FATCA") and Organisation for Economic Co-operation and Development ("OECD") Common Reporting Standard ("CRS") require financial institutions to collect and report certain required information based on an account holder's tax residence. Each jurisdiction has its own rules for defining tax residence. In general, for entities tax residence is defined as the jurisdiction where the entity has its place of management. Special circumstances may cause the entity to be resident elsewhere or resident in more than one jurisdiction at the same time (multiple residencies). For more information on tax residence, please consult your tax adviser or the information at the following link for FATCA and CRS at <u>https://www.irs.gov/</u> and <u>http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance</u>/ respectively.

If the tax residence of the account holder is located outside of the country in which this account is maintained, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the tax authorities in the country where the financial institution is located.

This form will remain valid unless there is a change in circumstances relating to the account holder's tax status, (e.g. a change in the ownership structure of the entity adding controlling person) or other mandatory fields included on this form. You must notify us **within 30 days** if there is a change in circumstance that makes any of the information provided in this form incorrect or incomplete and provide an updated self-certification form.

This form is intended to request information only where such request is not prohibited by applicable local law or regulations.

## Please complete this form if you are notifying us of a change in circumstances.

If you are an individual account holder or sole trader or sole proprietor do not complete this form. Instead please complete an "Individual / Controlling Person Self Certification Form (FATCA and CRS)".

# Where the account holder is a passive non-financial entity ("NFE"), or an investment entity located in a non-participating jurisdiction managed by another financial institution.

Please provide information on the natural person(s) who exercise control over the account holder (such individuals referred to as "Controlling Person(s)") by completing an "Individual / Controlling Person Self Certification Form (FATCA and CRS) for each Controlling Person. This information should be provided in respect of any account holder which is a passive NFE or investment entities located in a non-participating jurisdiction and managed by another financial institution.

#### If you are completing the form on the account holder's behalf

You should indicate the capacity in which you have signed in Section 4. For example you may be completing the form under a signatory authority or power of attorney.

#### As a financial institution, Manulife is not allowed to give tax or legal advice.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or domestic tax authority.

#### Please return the completed and signed form to Manulife Investment Management (M) Berhad

Manulife Investment Management (M) Berhad 200801033087 (834424-U) 13th Floor, Menara Manulife, 6 Jalan Gelenggang, Damansara Heights, 50490 Kuala Lumpur.

Telephone: 03-2719 9271 Website at www.manulifeim.com.my

## TaxSelfCert-E/202301

SECTION 1 : ACC	OUNT HOLDER IDENTIFICATION
Organization Name	
Registration No.	Date of Incorporation         D         M         Y
Country of Incorporation	
ADDRESS	
Registered /	
Permanent	
	Postcode
Correspondence (Please complete	
<i>if different from</i> <i>the above</i> )	
	Postcode
SECTION 2A : DE	CLARATION PURSUANT TO FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)
Are you a United States c	ing questions in order to confirm your status under the tax laws and regulations of the United States. citizen / United States resident / United States Permanent Resident Alien (i.e. a so-called U.S. green card holder)? Form W-9 of US Department of the Treasury Internal Revenue Service. A copy of Form W-9 can be obtained from the US IRS website at rs-pdf/fw9.pdf
SECTION 2B : DE	ECLARATION PURSUANT TO COMMON REPORTING STANDARD (CRS) ON TAX RESIDENCY
If YES, please list all th numbers ("TIN"). If the residency: http://www.	in any country other than Malaysia? Yes No he Entity's countries/jurisdictions other than U.S. where you are a resident for tax purposes and the associated taxpayer identification re are more than 5 countries/jurisdictions, please use a separate sheet. Please refer the OECD AEOI Portal for more information on tax oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#d.en.347760 not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please provide the place of effective management or country in which its
Cour	ntry / Jurisdiction of Tax Residency Taxpayer Identification Number ("TIN") / Income Tax Reference Number
Please provide reason(s,	) for not providing the TIN.
	COUNT HOLDER'S CLASSIFICATION UNDER APPLICABLE TAX REGULATIONS
	Active Non Financial Entity? Entity - a corporation the stock of which is regularly traded on one or more established securities markets or a corporation which is a related entity
Name of the establis	hed securities market on which stock is regularly traded
	r is a related entity of a regularly traded corporation, the regularly traded corporation
Active Non-Financial	Entity - others, please specify
	Entity - Governmental entity, Central Bank or International Organization please complete the below section A and section B

TaxSelfCert-E/202301
A : DECLARATION PURSUANT TO FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)
Please tick one of the below categories as appropriate         a) Reporting Fl in an IGA jurisdiction (please provide GIIN below*)         b) Participating Foreign Financial Institution in a non-IGA jurisdiction (please provide GIIN below*)         c) Non-Participating Foreign Financial Institution         d) Deemed-Complaint Foreign Financial Institution (please provide GIIN below if you are a registered-deemed compliant foreign financial institution or sponsored entity with a GIIN)         For Trustee documented trust or sponsored entity, please provide the name of the trustee or sponsor and associated GIIN         Name of trustee(s) or sponsor(s)
Global Intermediary Identification Number (GIIN) of the trustee(s) or sponsor(s)       Image: Control in the image
g) Others, please specify       *Global Intermediary Identification Number (GIIN)
B : CRS CLASSIFICATION
<ul> <li>Please tick one of the below categories as appropriate</li> <li>a) Financial Institution - other than a Professionally Managed Investment Entity (e.g. a fund), tax resident in a Non-Participating Jurisdiction under CRS</li> <li>b) Professionally Manged Investment Entity, tax resident in a Non-Participating Jurisdiction under CRS <i>Please complete individual (Controlling Person's) tax residency self-certification for each controlling person</i></li> </ul>
c) Passive Non-Financial Entity <i>Please complete individual (Controlling Person's) tax residency self-certification for each controlling person</i>
SECTION 2A : DECLARATION PURSUANT TO FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)
I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.
and complete. I/We acknowledge and understand that the information contained in this self-certification and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction
and complete. I/We acknowledge and understand that the information contained in this self-certification and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I/we may be tax resident pursuant to intergovernmental agreements to exchange financial account information. I/We have read and understood the applicable provisions of the latest prospectus of the relevant fund (the "Fund") to which this account relates in relation to the gathering, storage, use, processing, disclosure and reporting of information provided by me/us in connection with the satisfaction of any governmental and/or regulatory requirements and/or other legal obligations relating to, but not limited to, information sharing and tax reporting, which may be applicable to the Fund from time to time, and agree and undertake to be bound by such terms (as they may be amended from time to time) and
and complete. I/We acknowledge and understand that the information contained in this self-certification and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I/we may be tax resident pursuant to intergovernmental agreements to exchange financial account information. I/We have read and understood the applicable provisions of the latest prospectus of the relevant fund (the "Fund") to which this account relates in relation to the gathering, storage, use, processing, disclosure and reporting of information provided by me/us in connection with the satisfaction of any governmental and/or regulatory requirements and/or other legal obligations relating to, but not limited to, information sharing and tax reporting, which may be applicable to the Fund from time to time, and agree and undertake to be bound by such terms (as they may be amended from time to time) and to perform all obligations thereunder. I/We acknowledge, consent to and instruct (a) the gathering, retention and processing of any information contained in this form (as such information may be updated from time to time) and any other information regarding the account holder provided by me/us, by the Fund and/or any distributor of the Fund and/or any other entity duly designated by the Fund, (b) the transfer of such information to any administrative support provider and processor located within the EEA, Canada and India, where the transfer is necessary for the maintenance of records or administration of the information, and (c) the disclosure and reporting of any such information to any governmental, regulatory or tax authorities of any other country or country is maintained and the exchange of such information with any governmental, regulatory or tax authorities of any other country in which this account holder may be tax resident where such countries (or governmental,
and complete. I/We acknowledge and understand that the information contained in this self-certification and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I/we may be tax resident pursuant to intergovernmental agreements to exchange financial account information. I/We have read and understood the applicable provisions of the latest prospectus of the relevant fund (the "Fund") to which this account relates in relation to the gathering, storage, use, processing, disclosure and reporting of information provided by me/us in connection with the satisfaction of any governmental and/or regulatory requirements and/or other legal obligations relating to, but not limited to, information sharing and tax reporting, which may be applicable to the Fund from time to time, and agree and undertake to be bound by such terms (as they may be amended from time to time) and to perform all obligations thereunder. I/We acknowledge, consent to and instruct (a) the gathering, retention and processing of any information contained in this form (as such information may be updated from time to time) and any other information regarding the account holder provided by me/us, by the Fund and/or any distributor of the Fund and/or any other entity duly designated by the Fund, (b) the transfer of such information to any administrative support provider and processor located within the EEA, Canada and India, where the transfer is necessary for the maintenance of records or administration of the information, and (c) the disclosure and reporting of any such information to any governmental, regulatory or tax authorities of any other country or countries in which the account holder may be tax resident where such countries (or governmental, regulatory or tax authorities in such country or countries in which the account holder may be tax resident where
and complete. I/We acknowledge and understand that the information contained in this self-certification and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I/we may be tax resident pursuant to intergovernmental agreements to exchange financial account information. I/We have read and understood the applicable provisions of the latest prospectus of the relevant fund (the "Fund") to which this account relates in relation to the gathering, storage, use, processing, disclosure and reporting of information provided by me/us in connection with the satisfaction of any governmental and/or regulatory requirements and/or other legal obligations relating to, but not limited to, information sharing and tax reporting, which may be applicable to the Fund from time to time, and agree and undertake to be bound by such terms (as they may be amended from time to time) and to perform all obligations thereunder. I/We acknowledge, consent to and instruct (a) the gathering, retention and processing of any information contained in this form (as such information may be updated from time to time) and any other information regarding the account holder provided by me/us, by the Fund and/or any distributor of the Fund and/or any other entity duly designated by the Fund, (b) the transfer of such information to any administrative support provider and processor located within the EEA, Canada and India, where the transfer is necessary for the maintenance of records or administratives of the country in which this account is maintained and the exchange of such information vith any governmental, regulatory or tax authorities of any other country or countries in which the account holder may be tax resident where such countries (or governmental, regulatory or tax authorities in such countries) have entered into Agreements to exchange financial ac

Authorised Signature #1 Name #1 : Date (dd/mm/yyyy) : Authorised Signature #2 Name #2 : Date (dd/mm/yyyy) :

**Note :** If you are not the account holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Authorised Signature #1 Capacity in which declaration is made\* : Authorised Signature #2